

S^3 Forced Variational Integrator Network

Hu Hanyang

Instructor: Melvin Leok

University of California San Diego
MATH 273A Course Project

December 9, 2025

Outline

- 1 Introduction
- 2 Discrete Variational Mechanics with Forces
- 3 Variational Integrator Network on $\mathbb{R}^3 \times S^3$
- 4 Experiment on Planar Pendulum
- 5 Conclusion

Introduction

What are **Variational Integrator Networks (VINs)**¹?

Motivation. Encode prior knowledge of the underlying physical laws that govern the dynamical systems into the model design.

Example. Consider the Lagrangian $L_\theta(\mathbf{q}, \dot{\mathbf{q}}) = \frac{1}{2} \dot{\mathbf{q}}^\top \mathbf{M}_\theta \dot{\mathbf{q}} - U_\theta(\mathbf{q})$, then the Velocity-Verlet method

$$\begin{aligned}\mathbf{q}_{k+1} &= \mathbf{q}_k + h\dot{\mathbf{q}}_k - \frac{h^2}{2} \mathbf{M}_\theta^{-1} \nabla U_\theta(\mathbf{q}_k) \\ \dot{\mathbf{q}}_{k+1} &= \dot{\mathbf{q}}_k - h\mathbf{M}_\theta^{-1} \left(\frac{\nabla U_\theta(\mathbf{q}_k) + \nabla U_\theta(\mathbf{q}_{k+1})}{2} \right)\end{aligned}$$

can serve as the feed-forward architecture of the VIN.

¹Saemundsson et al., *Variational Integrator Networks for Physically Structured Embeddings*.

Advantages of VINs

Compared to **Hamiltonian neural networks** that learns a parameterized Hamiltonian by minimizing the loss function

$$\mathcal{L}_{\text{HNN}} = \left\| \frac{\partial \mathcal{H}_\theta}{\partial \mathbf{p}} - \dot{\mathbf{q}} \right\| + \left\| \frac{\partial \mathcal{H}_\theta}{\partial \mathbf{q}} + \dot{\mathbf{p}} \right\|$$

VINs have the following advantages:

- ① Automatically enforce symplecticity, momentum preservation, and approximate energy conservation.
- ② Do not need data to sufficiently cover the configuration space.

Motivation

In robotics and control applications (e.g., model-predictive control), we want to model $(\mathbf{q}_k, \dot{\mathbf{q}}_k, \mathbf{u}_k) \mapsto (\mathbf{q}_{k+1}, \dot{\mathbf{q}}_{k+1})$.

We need to consider external forcing (e.g., control, damping, contact).

The **Forced Variational Integrator Networks (FVINs)**² was presented for this purpose, following with the **Lie Group Forced Variational Integrator Networks (LieFVINs)**³ on $\text{SE}(3)$.

Goal. Understand forced variational integrators and extend LieFVINs on the unit quaternion group S^3 .

²Havens and Chowdhary, *Forced Variational Integrator Networks for Prediction and Control of Mechanical Systems*.

³Duruiseaux et al., *Lie Group Forced Variational Integrator Networks for Learning and Control of Robot Systems*.

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Continuous Forced System

Notations. Configuration manifold Q , control manifold \mathcal{U} , Lagrangian $L : TQ \rightarrow \mathbb{R}$, external force $f_L : TQ \times \mathcal{U} \rightarrow T^*Q$.

Lagrange-d'Alembert principle.

$$\underbrace{\delta \int_0^T L(\mathbf{q}(t), \dot{\mathbf{q}}(t)) dt}_{\text{action integral}} + \underbrace{\int_0^T f_L(\mathbf{q}(t), \dot{\mathbf{q}}(t), \mathbf{u}(t)) \cdot \delta \mathbf{q}(t) dt}_{\text{virtual work}} = 0$$

subject to $\delta \mathbf{q}(0) = \delta \mathbf{q}(T) = 0$.

Forced Euler-Lagrange equations.

$$\frac{\partial L}{\partial \mathbf{q}} - \frac{d}{dt} \frac{\partial L}{\partial \dot{\mathbf{q}}} = f_L(\mathbf{q}(t), \dot{\mathbf{q}}(t), \mathbf{u}(t)).$$

Discrete Forced System

Notations. Discrete Lagrangian $L_d : Q \times Q \rightarrow \mathbb{R}$, discrete controlled forces $f_d^\pm : Q \times Q \times \mathcal{U} \rightarrow T^*Q$.

Discrete Lagrange-d'Alembert principle.

$$\delta \sum_{k=0}^{N-1} L_d(\mathbf{q}_k, \mathbf{q}_{k+1}) + \sum_{k=0}^{N-1} \underbrace{[f_d^-(\mathbf{q}_k, \mathbf{q}_{k+1}, \mathbf{u}_k) \cdot \delta \mathbf{q}_k + f_d^+(\mathbf{q}_k, \mathbf{q}_{k+1}, \mathbf{u}_k) \cdot \delta \mathbf{q}_{k+1}]}_{\approx \int_{t_k}^{t_{k+1}} f_L(\mathbf{q}(t), \dot{\mathbf{q}}(t), \mathbf{u}(t)) \cdot \delta \mathbf{q}(t) dt} = 0$$

subject to $\delta \mathbf{q}_0 = \delta \mathbf{q}_N = 0$.

Forced Discrete Euler-Lagrange Equation.

$$D_1 L_d(\mathbf{q}_k, \mathbf{q}_{k+1}) + D_2 L_d(\mathbf{q}_{k-1}, \mathbf{q}_k) + f_d^-(\mathbf{q}_k, \mathbf{q}_{k+1}, \mathbf{u}_k) + f_d^+(\mathbf{q}_{k-1}, \mathbf{q}_k, \mathbf{u}_{k-1}) = 0.$$

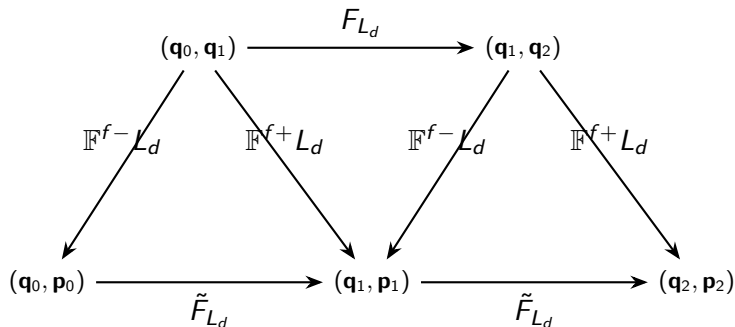
Forced Discrete Legendre Transform

Define $\mathbb{F}^{f\pm}L_d : Q \times Q \rightarrow T^*Q$ by

$$\mathbb{F}^{f+}L_d(\mathbf{q}_k, \mathbf{q}_{k+1}) = (\mathbf{q}_{k+1}, D_2L_d(\mathbf{q}_k, \mathbf{q}_{k+1}) + f_d^+(\mathbf{q}_k, \mathbf{q}_{k+1}, \mathbf{u}_k))$$

$$\mathbb{F}^{f-}L_d(\mathbf{q}_k, \mathbf{q}_{k+1}) = (\mathbf{q}_k, -D_1L_d(\mathbf{q}_k, \mathbf{q}_{k+1}) - f_d^-(\mathbf{q}_k, \mathbf{q}_{k+1}, \mathbf{u}_k))$$

so that $\mathbb{F}^{f\pm}L_d$ is consistent with the continuous Legendre transform $\mathbb{F}L$ when the discrete Lagrangian L_d and discrete forces f_d^\pm are exact.



Symplecticity and Forced Discrete Noether's Theorem

In general, we have $\mathbf{d}q_k^i \wedge \mathbf{d}p_k^i \neq \mathbf{d}q_{k+1}^i \wedge \mathbf{d}p_{k+1}^i$ since

$$\begin{aligned} 0 &= \mathbf{d}^2 L_d(\mathbf{q}_k, \mathbf{q}_{k+1}) \\ &= \mathbf{d}q_k^i \wedge \mathbf{d}p_k^i - \mathbf{d}q_{k+1}^j \wedge \mathbf{d}p_{k+1}^j \\ &\quad - \underbrace{[\mathbf{d}f_d^{-i}(\mathbf{q}_k, \mathbf{q}_{k+1}, \mathbf{u}_k) \wedge \mathbf{d}q_k^i + \mathbf{d}f_d^{+j}(\mathbf{q}_k, \mathbf{q}_{k+1}, \mathbf{u}_k) \wedge \mathbf{d}q_{k+1}^j]}_{\text{extra terms from forcing}}. \end{aligned}$$

Forced Discrete Noether's Theorem.

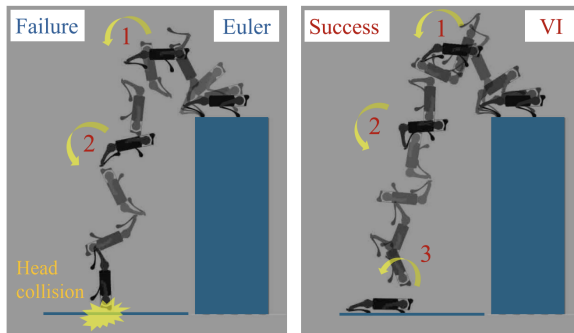
For a G -invariant discrete Lagrangian, if the discrete forces are orthogonal to the group action in the sense that

$$\langle f_d^{-}(\mathbf{q}_k, \mathbf{q}_{k+1}, \mathbf{u}_k), \xi_Q(\mathbf{q}_k) \rangle + \langle f_d^{+}(\mathbf{q}_k, \mathbf{q}_{k+1}, \mathbf{u}_k), \xi_Q(\mathbf{q}_{k+1}) \rangle = 0$$

then the discrete momentum map $J_d : Q \times Q \rightarrow \mathfrak{g}^*$ is preserved.

Example Application of Forced VI

A recent application in robotics for modeling aerial maneuvers.⁴



⁴Beck et al., "High Accuracy Aerial Maneuvers on Legged Robots using Variational Integrator Discretized Trajectory Optimization".

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Lagrangian on $\mathbb{R}^3 \times S^3$

Consider the Lagrangian $L : T\mathbf{SE}(3) \rightarrow \mathbb{R}$

$$L_\theta(\mathbf{x}, \dot{\mathbf{x}}, \mathbf{R}, \dot{\mathbf{R}}) = \frac{1}{2} \dot{\mathbf{x}}^\top \mathbf{M}_\theta \dot{\mathbf{x}} + \frac{1}{2} \omega^\top \mathbf{J}_\theta \omega - U_\theta(\mathbf{R})$$

where $\omega = (\mathbf{R}^\top \dot{\mathbf{R}})^\vee \in \mathbb{R}^3 \cong \mathfrak{so}(3)$ is the angular velocity.

We can lift it to $\hat{L} : T(\mathbb{R}^3 \times S^3) \rightarrow \mathbb{R}$ using the Lie group homomorphism $\Phi : \mathbf{q} \mapsto (2q_s^2 - 1)\mathbb{I} + 2\mathbf{q}_v \mathbf{q}_v^\top + 2q_s[\mathbf{q}_v]_\times \in \mathbf{SO}(3)$, such that

$$\hat{L}_\theta(\mathbf{x}, \dot{\mathbf{x}}, \mathbf{q}, \dot{\mathbf{q}}) = \frac{1}{2} \dot{\mathbf{x}}^\top \mathbf{M}_\theta \dot{\mathbf{x}} + 2\xi^\top \mathbf{J}_\theta \xi - U_\theta(\Phi(\mathbf{q}))$$

where $\xi = \text{Im}(\mathbf{q}^* \dot{\mathbf{q}})$ since $(\mathbf{q}, \dot{\mathbf{q}}) = (\mathbf{q}, \mathbf{q}\xi) \xrightarrow{T_{\mathbf{q}}\Phi} (\Phi(\mathbf{q}), 2\Phi(\mathbf{q})[\xi]_\times)$.

Forced Variational Integrator Network on $\mathbb{R}^3 \times S^3$

A straightforward extension to the result of Shen et al.⁵

$$\mathbf{x}_{k+1} = \mathbf{x}_k + h\mathbf{M}_\theta^{-1}\mathbf{p}_k - \frac{h^2}{2}\mathbf{M}_\theta^{-1}\nabla_{\mathbf{x}}U_\theta(\mathbf{x}_k, \mathbf{q}_k) + h\mathbf{M}_\theta^{-1}f_d^{\mathbf{x}-}$$

$$\mathbf{p}_{k+1} = \mathbf{p}_k - h\frac{\nabla_{\mathbf{x}}U_\theta(\mathbf{x}_k, \mathbf{q}_k) + \nabla_{\mathbf{x}}U_\theta(\mathbf{x}_{k+1}, \mathbf{q}_{k+1})}{2} + f_d^{\mathbf{x}+} + f_d^{\mathbf{x}-}$$

$$\pi_k = -\frac{4}{h}G(\mathbf{q}_{k+1}^*\mathbf{q}_k)\mathbf{J}_\theta\text{Im}(\mathbf{q}_{k+1}^*\mathbf{q}_k) + \frac{h}{2}H(\mathbf{q}_k)\nabla_{\mathbf{q}}U_\theta(\mathbf{x}_k, \mathbf{q}_k) - f_d^{\mathbf{q}-}$$

$$\pi_{k+1} = \frac{4}{h}G(\mathbf{q}_k^*\mathbf{q}_{k+1})\mathbf{J}_\theta\text{Im}(\mathbf{q}_k^*\mathbf{q}_{k+1}) - \frac{h}{2}H(\mathbf{q}_{k+1})\nabla_{\mathbf{q}}U_\theta(\mathbf{x}_{k+1}, \mathbf{q}_{k+1}) + f_d^{\mathbf{q}+}$$

where $G(\mathbf{q}) = \mathbf{q}_s\mathbb{I} - [\mathbf{q}_v]_\times$, $H(\mathbf{q}) = (-\mathbf{q}_v, G(\mathbf{q}))$ and $\mathbf{q}_{k+1} = \mathbf{q}_k \exp(\xi_k)$.

Left-trivialized momenta. Compute momenta in $\mathbf{R}^3 \cong (\mathfrak{s}^3)^*$

$$\pi_k = -T_{\mathbf{e}}^*L_{\mathbf{q}_k}D_1L_d(\mathbf{q}_k, \mathbf{q}_{k+1}) - f_d^-$$

$$\pi_{k+1} = T_{\mathbf{e}}^*L_{\mathbf{q}_{k+1}}D_2L_d(\mathbf{q}_k, \mathbf{q}_{k+1}) + f_d^+$$

⁵Shen and Leok, *Lie group variational integrators for rigid body problems using quaternions*.

Overcoming Double-Covering Issue

Observation. For any $\mathbf{R} \in \mathbf{SO}(3)$, $\Phi^{-1}(\mathbf{R}) = \{\pm \mathbf{q}\} \subseteq S^3$.

How to fix this? To ensure consistency under this double covering, all black-box components should satisfy the symmetry condition

$$\mathbf{J}_\theta(\mathbf{q}) = \mathbf{J}_\theta(-\mathbf{q}), \quad U_\theta(\mathbf{x}, \mathbf{q}) = U_\theta(\mathbf{x}, -\mathbf{q}), \quad F_\theta^\pm(\mathbf{x}, \mathbf{q}, \mathbf{u}) = F_\theta^\pm(\mathbf{x}, -\mathbf{q}, \mathbf{u}).$$

where $F_\theta^\pm(\mathbf{x}, \mathbf{q}, \mathbf{u})$ are the models used to approximate the discrete forces.

$$\begin{aligned}\hat{\mathbf{J}}_\theta(\mathbf{q}) &= \frac{1}{2}(\mathbf{J}_\theta(\mathbf{q}) + \mathbf{J}_\theta(-\mathbf{q})) \\ \hat{U}_\theta(\mathbf{x}, \mathbf{q}) &= \frac{1}{2}(U_\theta(\mathbf{x}, \mathbf{q}) + U_\theta(\mathbf{x}, -\mathbf{q})) \\ \hat{F}_\theta^\pm(\mathbf{x}, \mathbf{q}, \mathbf{u}) &= \frac{1}{2}(F_\theta^\pm(\mathbf{x}, \mathbf{q}, \mathbf{u}) + F_\theta^\pm(\mathbf{x}, -\mathbf{q}, \mathbf{u}))\end{aligned}$$

Relationship with FVIN on $\mathbf{SE}(3)$

Use the following mapping

$$(\mathbf{x}, \mathbf{R}, \dot{\mathbf{x}}, \dot{\mathbf{R}}) \mapsto (\mathbf{x}, \mathbf{q}, \mathbf{p}, \pi) = (\mathbf{x}, \mathbf{q}, \mathbf{M}_\theta \dot{\mathbf{x}}, 2\mathbf{J}_\theta (\mathbf{R}^\top \dot{\mathbf{R}})^\vee) \in T^*(\mathbb{R}^3 \times S^3)$$

since $\mathbf{p} = \mathbf{M}_\theta \dot{\mathbf{x}}$, $\pi = 4\mathbf{J}_\theta \xi$ and $2\xi = \omega = (\mathbf{R}^\top \dot{\mathbf{R}})^\vee$.

For the **left-trivialized discrete forces** $f_d^{\mathbf{q}\pm} \in \mathbb{R}^3 \cong (\mathfrak{s}^3)^*$, we also have $f_d^{\mathbf{R}\pm} = \frac{1}{2}f_d^{\mathbf{q}\pm} \in \mathbb{R}^3 \cong (\mathfrak{so}(3))^*$.

$$\begin{array}{ccc} \mathfrak{s}^3 & \xrightarrow{T_e\Phi} & \mathfrak{so}(3) \\ \downarrow & & \downarrow \\ (\mathfrak{s}^3)^* & \xleftarrow{T_e^*\Phi} & (\mathfrak{so}(3))^* \end{array}$$

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Ground-Truth Dynamics

$$\ddot{\theta} = -15 \sin \theta + 3u$$

$$\tau = g(\theta)u, \quad g(\theta) = 1, \quad m = \frac{1}{3}, \quad U(\theta) = 5(1 - \cos \theta)$$

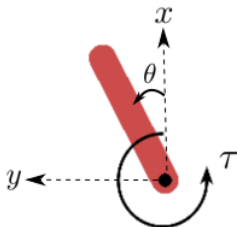


Figure: The inverted pendulum swingup problem in the OpenAI Gymnasium.

Experiment Results

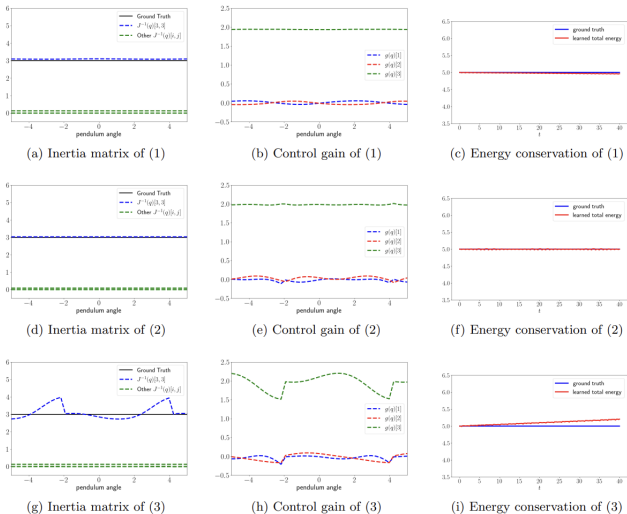


Figure: (1) Sign-invariance; (2) Fixed inertia matrix \mathbf{J}_θ ; (3) Plain.

Training/Testing Loss

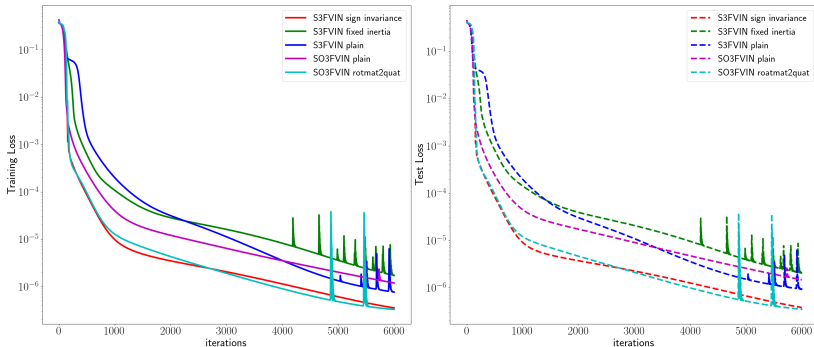


Figure: Loss curves for different variants of **S3FVIN** and **SO3FVIN**. The sign-invariant **S3FVIN** converges fastest and most stably. **SO3FVIN** can achieve comparable performance by parameterizing each black-box component using the transformation $\mathbf{R} \mapsto \mathbf{q}$, but exhibits less stable training.

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Key takeaways from this project:

- ① Explicitly enforcing **sign invariance** is a simple yet essential inductive bias for obtaining physically plausible results for **S3FVIN**;
- ② Working directly with unit quaternions generally leads to **faster and more stable training** than using 3×3 rotation matrices, largely due to the **more compact representation**.